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# The absolute value of recruitment-to-inflation ratio does not correlate with the recruited volume

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The recruitment-to-inflation ratio ( $R/I$ ) was proposed to assess recruitability in patients with acute respiratory distress syndrome (ARDS) [1]. The method calculates the compliance  $C_{rec}$  with the recruited volume and pressure differences between two positive end-expiratory pressures (PEEPs).  $R/I$  is the ratio between  $C_{rec}$  and the respiratory system compliance ( $C_{rs}$ ) at lower PEEP ( $PEEP_{low}$ ). In previous studies, it was demonstrated that overdistension could occur within tidal breathing, even when lung protective tidal volume was applied [2]. Therefore, the influence of overdistension should not be neglected for PEEP changes. Since the global compliance alone cannot distinguish atelectasis and overdistension,

we hypothesized that  $R/I$  rather reflects a combination of recruitment and overdistension.

We evaluated the ARDS patients admitted to our center from 04.2017 to 06.2022 and participating in other studies (one was published NCT03112512). Sixty-two patients were screened and finally 58 patients analyzed ( $PaO_2/FiO_2 = 82.9 \pm 30.0$  mmHg). Four patients were excluded due to either no ventilator data or no electrical impedance tomography (EIT) data recorded. The patients were ventilated with lung protective ventilation strategies (low tidal volume  $\sim 6$  ml/kg and individualized PEEP). PEEP was increased by 10 cmH<sub>2</sub>O (2 min  $PEEP_{high}$ ,  $19.0 \pm 2.5$  cmH<sub>2</sub>O). Afterward, PEEP was decreased to the previous level ( $PEEP_{low}$ ,  $9.3 \pm 2.5$  cmH<sub>2</sub>O). EIT measurement was conducted simultaneously with PulmoVista-500 (Draeger Medical, Germany) as specified by the device manufacturer. Relative impedance changes were calibrated to the corresponding volume changes in ml. Regional compliance was calculated for each pixel in the lung regions at both  $PEEP_{high}$  and  $PEEP_{low}$ . Negative regional compliance change ( $\Delta C_{EIT} = C_{high} - C_{low}$ ) indicated an overdistension at  $PEEP_{high}$ . Positive value of  $\Delta C_{EIT}$  suggested a recruitment at  $PEEP_{high}$ . For calculation of  $R/I$ , the recruited volume was assessed with EIT as proposed in a previous study [3].

$C_{rs}$  at  $PEEP_{low}$  was  $39.5 \pm 18.1$  ml/cmH<sub>2</sub>O.  $R/I$  of the studied patients was  $0.93 \pm 0.69$ . The  $\Delta C_{EIT-overdistension}$  was  $-8.6 \pm 7.3$  ml/cmH<sub>2</sub>O and  $\Delta C_{EIT-recruitment}$  was  $6.1 \pm 3.7$  ml/cmH<sub>2</sub>O. The correlation between  $R/I$  and  $\Delta C_{EIT-recruitment}$  was statistically insignificant ( $r = -0.25$ ). On the other hand,  $R/I$  and  $|\Delta C_{EIT-overdistension}|$

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$\Delta C_{\text{EIT-recruitment}}$  were significantly correlated ( $r=0.31$ ,  $p=0.02$ ).

Our study showed that  $R/I$  might not be a reliable index to assess recruitment but rather has a weak correlation with the mixture of recruitment and overdistension. The calculation of  $R/I$  holds several assumptions (e.g., linear  $C_{\text{rec}}$  within  $\Delta\text{PEEP}$  and  $C_{\text{rs}}$  within tidal breathing at  $\text{PEEP}_{\text{low}}$ ). Only when these assumptions are met,  $R/I$  reflects solely the recruitability (e.g., overdistension is not present at either  $\text{PEEP}_{\text{low}}$  or  $\text{PEEP}_{\text{high}}$ ). Volume-dependent compliance changes have been intensively studied, and the results suggested that intra-tidal  $C_{\text{rs}}$  is not necessarily linear in ARDS. Using  $C_{\text{rs}}$  value at  $\text{PEEP}_{\text{low}}$  to predict the volume change in already aerated lung regions could be misleading (Fig. 1). Besides,  $R/I$  neglects the fact that intra-tidal overdistension may occur at  $\text{PEEP}_{\text{high}}$  [2].  $R/I$  was correlated with  $\text{PaO}_2/\text{FiO}_2$  and dead space in the original study [1], but those measures did not provide a direct proof of recruitability. Regional EIT information is used at the bedside to identify recruitment and overdistension [2, 3]. Therefore, we utilized the data set to test our hypothesis. A recent study obtained opposite results to ours [4]. We speculated that 1.  $\text{PEEP}_{\text{high}}$  value selected in that study was the optimal PEEP decided by EIT, at which little overdistension might have been present, and 2. the overdistension and recruitment calculated in that study were relative to the maximum regional compliance [3]. The resulting values depend on the starting and ending PEEP levels of the PEEP titration, as well as the number of PEEP steps. Due to the calculation limitation of the relative compliance change, the percentage of overdistension at the lowest PEEP would be 0 regardless of the reality. On the other hand, we calculated the absolute changes of regional compliance, which would not have the

limitations discussed above and more accurately reflect the degree of overdistension and recruitment. In another study [5], Taenaka et al. found weak correlation between  $R/I$  and  $C_{\text{rs}}$ ,  $R/I$  and silent spaces (presumably lung collapse and overdistension), which coincided to our findings that  $R/I$  assessed not only recruitment but also overdistension.

As limitation, the present study was a retrospective analysis of prospective studies. The calculation of  $R/I$  was not according to the original publication [1] but rather an alternative [4], which is also widely used. In the original publication, lowest pressure for opening the airways should be identified, which was not assessed in the current study. We could not rule out the possibility that  $\text{PEEP}_{\text{low}}$  might have been lower than the airway opening pressure in some patients. On the other hand,  $\text{PEEP}_{\text{low}}$  applied in the current study was considered an adequate PEEP level for the patients; therefore,  $\text{PEEP}_{\text{high}}$  might have introduced considerable overdistension compared to the original study data. Furthermore, the compliance increase might not be linearly related to the recruited volume.

Nevertheless,  $R/I$  may ignore the overdistension and could be misleading if the absolute value is used to guide ventilator settings alone.

#### Author contributions

ZZ and MYC have designed the study and drafted the manuscript. MYC and CCC have participated in the data collection and contributed to writing the manuscript; HTC, YLH, KM, and IF have analyzed the data, performed the interpretation, and revised the manuscript critically. All authors read and approved the final manuscript.

#### Funding

The study was partially supported by the National Natural Science Foundation of China (52077216), Far Eastern Memorial Hospital (FEMH-2021C-045) and BMBF MOVE (FKZ 13FH6281X6).

#### Availability of data and materials

Data are available upon reasonable request from the corresponding author.

#### Declarations

#### Ethics approval and consent to participate

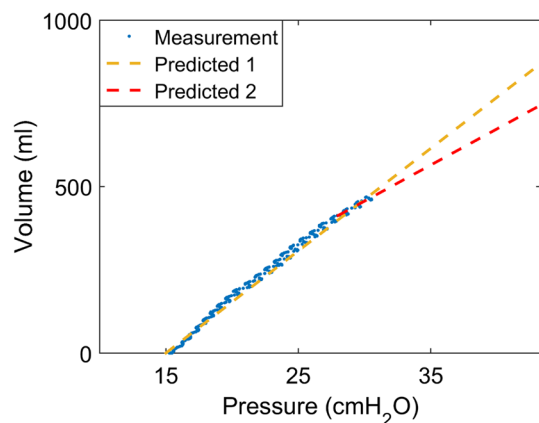
This study was conducted in the intensive care units of FEMH after receiving local Ethical committee approvals (FEMH-106094-E and 109200-F). All participants or their legal representatives provided written informed consent.

#### Competing interests

ZZ receives a consultation fee from Dräger Medical. IF reports funding from the European Union's Framework Programme for Research and Innovation Horizon2020 (WELMO, Grant No. 825572). The other authors report no conflict of interest.

Received: 23 January 2023 Accepted: 2 June 2023

Published online: 21 June 2023



**Fig. 1** Pressure–volume curve from a study subject with overdistension at  $\text{PEEP}_{\text{high}}$ . Predicted volume change using the global respiratory compliance (Predicted 1) and using the volume-dependent compliance toward the end of inspiration (Predicted 2)

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